

# BRANDON R. MILLER

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## CERTIFICATIONS & EDUCATION

<i>Certified Information Systems Auditor (CISA)</i>	2006	
<i>Certified Public Accountant (CPA) – State of Iowa</i>	2004	
<i>University of Iowa</i>	<b>BBA</b> in Accounting & MIS, Minor in Computer Science [4.10/4.00 Cumulative GPA] Graduate with Highest Distinction	2003
<i>Clarion – Goldfield High School</i>	Valedictorian [4.0 GPA]	2000

## RELEVANT WORK EXPERIENCE

*Senior Manager – Advisory Services*      **Ernst & Young, LLP – Des Moines, Iowa**      June 2002 – present  
*Financial Services Office, IT Risk & Assurance practice*

### *Client Engagement Experience*

- ♦ Serve as engagement manager in the execution of ten annual service organization controls (both SOC 1 & 2) examinations (~11,500 hours) and over ten annual integrated financial audits (~5,000 hours) for large and middle-market clients in primarily the insurance, financial services, healthcare, and technology industries. Oversee the development of project/audit plans, including scope, budget, and allocation of resources; provide supervision and guidance on approach, testing, knowledge transfer, and training of internal staff, senior associates, and managers; perform quality review of team fieldwork; deliver performance feedback to senior associates and staff; draft reports; and communicate project results and identified deficiencies to upper-level client management.
- ♦ Manage multiple internal audit co-sourcing/outsourcing engagements for a Fortune America top 15 diversified investment company, insurance company, and others. Scope, plan, execute, and perform quality review of diverse IT audits; coordinate with business process auditors; prepare internal audit reports; manage Sarbanes-Oxley Section 404 internal assessments; develop leading practice templates and enablers for use by the client Internal Audit groups; and provide methodology training and supervision to client Internal Audit personnel.
- ♦ Conduct risk assessments to aid in the correct allocation of audit resources for clients utilizing a diverse array of applications, data formats, platforms, and IT and business processes.
- ♦ Evaluate business processes (mainly within financial services companies – insurance, credit card processing, banking, and asset management) and IT processes (e.g., system development, change management, logical and physical access, and IT operations), as well as Mainframe (RACF, Top Secret, and ACF2), Windows/Active Directory, UNIX (various flavors), and iSeries (AS/400) platforms.
- ♦ Assisted a company preparing for initial public offering in Sarbanes-Oxley Section 404 readiness and an insurance company preparing for Model Audit Rule readiness. Identified and designed new IT controls, authored IT policies and procedures, mapped IT risks to controls, and assessed control design and operational effectiveness.

### *Non-client Responsibilities and Experience*

- ♦ Serve as Insurance Leader for SOC reporting across the Financial Services Offices of Ernst & Young (EY) with responsibility for driving new and monitoring existing delivery of SOC 1, 2, and 3 reporting.
- ♦ Serve on the Financial Audit IT Integration and Quality and Risk Management committees for the Financial Services Offices with responsibility for quality delivery of IT audit on our financial audits, including training, monitoring, consultation, and annual quality review programs (internal, peer, and PCAOB).
- ♦ Identify client opportunities where EY might be able to assist and build relationships with client contacts. Manage an annual pursuit pipeline (~\$8M) to provide additional advisory services for my clients and targets.
- ♦ Maintain formal counseling relationships with three assigned counselees. Help them set career goals, determine training and development needs, understand performance feedback, remedy opportunities for improvement, and progress within the firm; identify challenging engagements/projects that will help them achieve goals and development objectives; and evaluate career progress and readiness for promotion through formal mid-year and annual performance reviews.
- ♦ Develop and deliver various internal trainings for EY personnel. Created an IT controls case study for university accounting students and guest taught over 20 lectures at two state universities.
- ♦ Coordinate annual campus recruiting for the Des Moines Advisory practice, conduct campus and office visit candidate interviews, present to various campus organizations, and actively participate in other campus events.
- ♦ Serve as *Campus Inclusiveness Champion* (internal EY role) for the University of Iowa responsible for driving awareness and EY support of campus diversity and inclusiveness efforts. Facilitated multiple discussions on diversity initiatives with College of Business Deans, Department Chairs, and Undergraduate Program Directors.
- ♦ Recognized twice by the regional managing partner as one of the top performers within the Financial Services Offices across service lines and the Americas for providing superior on-the-job coaching to team members.
- ♦ Led an internal initiative for the EY Midwest to implement the use and deployment of the EY Global Talent Hub (EY India personnel) service organization controls (SOC) reporting engagements.

